



## Statement of Public Interest Principles for Copyright Protection under the Regional Comprehensive Economic Partnership (RCEP)

Haochen Sun, Associate Professor of Law and Director, Law and Technology Centre,  
The University of Hong Kong

This Statement is open to endorsement and comment. Click [here](#) to sign the Statement.

---

### Introduction

The Regional Comprehensive Economic Partnership (RCEP) aims to conclude a comprehensive agreement that promotes free trade and investment among Australia, China, India, Japan, New Zealand, South Korea and member states of the Association of Southeast Asian Nations (ASEAN). As a hallmark of this proposed agreement, the RCEP Intellectual Property (IP) Chapter will set out a host of minimum standards for IP protection in the sixteen participating countries.

We are deeply concerned about the copyright protection standards proposed for the RCEP IP Chapter. They may cause unintended effects of stifling creativity, free speech, and economic growth. We urge that the new rounds of RCEP negotiations reconsider those standards by applying the following three principles:

- 1. Integrate the public interest as a core value for copyright negotiations.**
- 2. Increase transparency of negotiations for the public interest.**
- 3. Institute changes in copyright provisions for the public interest.**

Guided by these three principles, RCEP negotiations would produce the largest mega-regional free trade agreement to procedurally and substantially protect the public interest in copyrighted works. The RCEP copyright provisions, therefore, stand to benefit nearly 50% of the world's population, who live in the sixteen RCEP participating countries.

---

### Principle One: *Integrate the Public Interest as a Core Value for Copyright Negotiations*

At the national, regional, and international levels, copyright law serves not only the private interest of copyright owners, but also the public interest of society at large. It protects the economic and moral interests of copyright owners, and simultaneously affords the public with sufficient freedom in creating new works, disseminating information and accessing knowledge. The Preamble of the WIPO Copyright Treaty (WCT), therefore, stresses “the need to maintain a balance between the rights of authors and the larger public interest, particularly education, research and access to information...”

RCEP negotiators should integrate the public interest as a core value for setting copyright provisions by taking the following actions:

- **Scrutinize current protection of the public interest in using copyrighted works:** A committee

consisting of negotiators and copyright experts should be set up to identify myriad public interests in using copyrighted works in RCEP participating countries. The committee will further consider whether and how the RCEP should promote those interests.

- **Examine public interest mandates under international copyright treaties:** The committee should carefully study the extent to which international copyright treaties obligate the RCEP participating countries to protect the public interest. For example, Articles 7 and 8 of the Agreement on Trade-Related Aspects of Intellectual Property Rights (TRIPS Agreement) mandate protection of the public interest in technological innovation and diffusion and market competition.
- **Consider public interest mandates under international human rights treaties:** The Universal Declaration of Human Rights, the International Covenant on Civil and Political Rights, and the International Covenant on Economic, Social and Cultural Rights protect human rights that bear direct relevance to copyright protection. These human rights mainly protect freedom of opinion and expression and promote education, participation in the cultural life of the community, enjoyment of the arts, and sharing of scientific advancement and its benefits. The committee should consider the relevance of these human rights obligations to the RCEP.

---

### **Principle Two: *Increase Transparency of Negotiations for the Public Interest***

Procedurally, the transparency of negotiations is key to ensuring adequate protection of the public interest by the RCEP in general and its copyright provisions in particular. The RCEP will affect the lives and livelihoods of billions of people not only in the RCEP participating countries but across the globe. The public, therefore, deserves a democratic right to know how the RCEP negotiating process has and will have adopted provisions protecting the public interest. However, the past sixteen rounds of RCEP negotiations have yet to result in the release of any substantive negotiating texts for public scrutiny.

Against this backdrop, we urge that the transparency of the new rounds of RCEP negotiations should be increased through the following public consultation procedures:

- **Release negotiation information:** The RCEP should take affirmative measures to make all negotiating texts and other relevant documents publicly available as soon as possible. For this purpose, the RCEP should learn from the example of the World Intellectual Property Organization (WIPO), which carried out transparency measures that facilitated the successful conclusion of the Marrakesh Treaty to Facilitate Access to Published Works for Persons Who Are Blind, Visually Impaired or Otherwise Print Disabled. WIPO publicly released draft negotiating documents promptly. It also publicly webcast the negotiating process.
- **Strengthen stakeholder engagement:** When considering critical issues, the RCEP should open up channels through which the relevant stakeholders can submit their opinions. Stakeholders may include not only business groups but also civil society representatives. When necessary, the RCEP should organize public hearing meetings where various stakeholders can discuss the merits and demerits of draft proposals and negotiators can explain decision-making processes.

---

### **Principle Three: *Institute Changes in Copyright Provisions for the Public Interest***

The RCEP will culminate in a set of provisions that protect copyright. Against this backdrop, RCEP negotiators should also endeavor to craft provisions to protect the public interest primarily by carving out limitations and exceptions to copyright and setting up a safe harbor system for Internet service providers.

Copyright limitations and exceptions, such as fair use and compulsory licensing, guarantee that the public interest in creativity, education, and free speech can be promoted through the necessary uses of copyrighted works. RCEP negotiators should adopt a hybrid approach to crafting copyright limitations and exceptions

as follows:

- **Liberaly apply the three-step test<sup>1</sup>:** The new rounds of RCEP negotiations should make every endeavor to address concerns that the three-step test may rule out limitations and exceptions that are open-ended and flexible. It should be made clear that nothing in the test shall prevent the introduction or retention of limitations and exceptions for legitimate purposes such as criticism, comment, education, news reporting, parody, research, and facilitating access for persons with disability.
- **Expressly recognize certain limitations and exceptions:** The new rounds of RCEP negotiations should also acknowledge crucial limitations and exceptions such as temporary reproduction, text and data mining, and regional exhaustion of copyright.

There is also concern that Internet service providers are at risk of being held secondarily liable for copyright infringements committed by users. As information intermediaries, Internet service providers play an increasingly vital role in protecting the public interest in the digital age. They provide a wealth of services that support the smooth and speedy flow of commercial, cultural and technical information. Those services include Internet access, search function, social media, content platform, e-commerce, and cloud computing.

In that regard, the new rounds of RCEP negotiations should consider adopting a fair, transparent and streamlined system capable of effectively and expeditiously stopping online copyright infringing activities, such as a safe harbor system that mainly utilizes a notice-and-take-down procedure. RCEP negotiators should scrutinize the following key issues:

- Exempt Internet service providers from legal liability for the copyright infringement that they do not initiate, control, or direct by delineating the scope of qualified Internet services and discharging Internet service providers' obligations of proactively monitoring their services;
- Include an effective counter-notice procedure requiring that Internet service providers properly restore materials they have removed; and
- Impose penalties on parties who deliberately abuse the notice or counter-notice procedure.

---

## Conclusion

In the digital age, copyright protection deeply affects the interests of authors, creative industries, users and society at large. Therefore, the RCEP should urgently adopt and follow the three public interest principles proposed in this statement to reshape its landscape of copyright protection.

---

<sup>1</sup> The three-step test has been established by the Berne Convention for the Protection of Literary and Artistic Works, the TRIPS Agreement, and the WCT as a core minimum standard for international protection of copyright. According to the negotiating proposals, the RCEP appears to have adopted the three-step test as well. By nature, the three-step test constrains legislative discretion to carve out limitations and exceptions to copyright. For example, Article 13 of the TRIPS Agreement requires that member states "confine limitations or exceptions to exclusive rights to certain special cases which do not conflict with a normal exploitation of the work and do not unreasonably prejudice the legitimate interests of the right holder."

## **Signatories:**

### **Peng-Hwa Ang**

Professor  
Nanyang Technological University, Singapore

### **Tanya Aplin**

Professor in Intellectual Property Law  
The Dickson Poon School of Law, King's College London, United Kingdom

### **Lida Ayoubi**

Lecturer  
School of Law, Auckland University of Technology, New Zealand

### **Ida Madieha Abdul Ghani Azmi**

Professor of Law  
International Islamic University Malaysia, Malaysia

### **Brook K. Baker**

Professor of Law  
Northeastern University School of Law, United States

### **Arpan Banerjee**

Assistant Professor and Assistant Dean  
Executive Director, Centre for IP & Technology Law  
Jindal Global Law School, India

### **Jonathan Barrett**

Senior Lecturer  
School of Accounting and Commercial Law  
Victoria University of Wellington, New Zealand

### **Anne Barron**

Associate Professor in Law  
Department of Law, London School of Economics, United Kingdom

### **Shamnad Basheer**

Honorary Research Chair Professor  
Nirma University, India

### **Kathy Bowrey**

Professor of Law  
Faculty of Law, University of New South Wales, Australia

### **Robert Burrell**

Professor of Law  
University of Sheffield, United Kingdom  
University of Melbourne, Australia

### **Michael Carroll**

Professor of Law  
Director, Program on Intellectual Property and Information Justice  
American University Washington College of Law, United States

**Anupam Chander**

Director, California International Law Center  
Martin Luther King, Jr. Professor of Law  
University of California, Davis, School of Law, United States

**Margaret Chon**

Donald and Lynda Horowitz Professor for the Pursuit of Justice  
Seattle University School of Law, United States

**Mishi Choudhary**

President and Founding Director  
Software Freedom Law Centre, India

**Susan Corbett**

Associate Professor  
School of Accounting and Commercial Law  
Victoria University of Wellington, New Zealand

**Guobin Cui**

Associate Dean and Associate Professor  
Tsinghua University Law School, China

**Angela Daly**

Vice Chancellor's Research Fellow  
Queensland University of Technology Faculty of Law, Australia

**Ben Depoorter**

Sunderland Chair & Professor of Law  
University of California, Hastings College of the Law, United States

**Martin J. Dürst**

Professor  
Department of Integrated Information Technology, College of Science and Engineering, Aoyama Gakuin University, Japan

**Sean Flynn**

Associate Director, Program on Information Justice and Intellectual Property  
Professorial Lecturer in Residence  
American University Washington College of Law, United States

**Jerry G. Fong**

Professor of Law  
College of Law, National Chengchi University, Taiwan

**Dev S. Gangjee**

Associate Professor in Intellectual Property Law  
Tutorial Fellow, St Hilda's College  
University of Oxford, United Kingdom

**Michael Geist**

Canada Research Chair in Internet and E-commerce Law, University of Ottawa, Canada  
Visiting Professor, University of Hong Kong Faculty of Law (2016, 2018), Hong Kong

**Shubha Ghosh**

Crandall Melvin Professor of Law  
Director, Technology Commercialization Law Program  
Syracuse University College of Law, United States

**Rebecca Giblin**

Associate Professor  
Faculty of Law, Monash University, Australia

**Graham Greenleaf**

Professor of Law & Information Systems  
Faculty of Law, University of New South Wales, Australia

**Wenwei Guan**

Assistant Professor  
School of Law, City University of Hong Kong, Hong Kong

**Lucie Guibault**

Associate Professor  
Institute for Information Law, University of Amsterdam, Netherlands

**He Guo**

Professor of Law  
Executive Director, Intellectual Property Teaching and Research Center  
Renmin University of China Law School, China

**Michael Handler**

Associate Professor  
Faculty of Law, University of New South Wales, Australia

**Masayuki Hatta**

Assistant Professor of Economics  
Faculty of Economics and Management  
Surugadai University, Japan

**Tianxiang He**

Assistant Professor  
School of Law, City University of Hong Kong, Hong Kong

**Richard Hill**

President  
Association for Proper Internet Governance, Switzerland

**Reto M. Hilty**

Managing Director, Max Planck Institute for Innovation and Competition, Germany  
Professor, University of Munich, Germany

**Peter Jaszi**

Professor of Law Emeritus  
American University Washington College of Law, United States

**Malavika Jayaram**

Executive Director  
Digital Asia Hub, Hong Kong

**Ge Jiang**

Associate Professor  
Tsinghua University Law School, China

**Melanie Johnson**

Copyright Officer, Libraries and Learning Services  
University of Auckland, New Zealand

**Reji Joseph**

Associate Professor  
Institute for Studies in Industrial Development, India

**Ariel Katz**

Associate Professor and Innovation Chair in Electronic Commerce  
Faculty of Law, University of Toronto, Canada

**Kelly K.Y. Kim**

General Counsel  
Open Net Korea, South Korea

**Alice Lee**

Associate Dean and Associate Professor  
University of Hong Kong Faculty of Law, Hong Kong

**Jyh-An Lee**

Assistant Professor  
Faculty of Law, The Chinese University of Hong Kong, Hong Kong

**Peter Lee**

Professor of Law  
University of California, Davis, School of Law, United States

**Mark A. Lemley**

William H. Neukom Professor of Law  
Director, Program in Law, Science & Technology  
Stanford Law School, United States

**Chen Li**

Professor of Law and UNESCO Chair in Copyright and Neighboring Rights  
Renmin University of China Law School, China

**Yahong Li**

Associate Professor  
Director, LLM Program in Information Technology and Intellectual Property Law  
University of Hong Kong Faculty of Law, Hong Kong

**Xiuqin Lin**

Professor of Law  
Dean, Intellectual Property Research Institute  
Xiamen University, China

**Chuntian Liu**

Chairman, China Intellectual Property Law Society

Dean, Intellectual Property Academy, Renmin University of China Law School, China

**Kung-Chung Liu**

Lee Kong Chian Visiting Professor of Law  
Director, Applied Research Center for Intellectual Assets and the Law in Asia (ARCIALA)  
School of Law, Singapore Management University, Singapore

**Bryan Mercurio**

Professor and Vice Chancellor's Outstanding Fellow  
Faculty of Law, The Chinese University of Hong Kong, Hong Kong

**Rostam J. Neuwirth**

Associate Professor  
Faculty of Law, University of Macau, Macau

**Sayuri Noma**

Assistant Professor of Law  
Teikyo University, Japan

**Michael Palmedo**

Assistant Director, Program on Information Justice and Intellectual Property  
American University Washington College of Law, United States

**Kyung-Sin Park**

Professor of Law  
Korea University Law School, Korea

**Christoph Rademacher**

Associate Professor  
Faculty of Law, Waseda University, Japan

**Srividhya Ragavan**

Professor of Law  
Texas A&M University School of Law, United States

**AHM Bazlur Rahman**

Chief Executive Officer  
Bangladesh NGOs Network for Radio & Communication, Bangladesh

**Jerome H. Reichman**

Bunyan S. Womble Professor of Law  
Duke University School of Law, United States

**Pamela Samuelson**

Richard M. Sherman Distinguished Professor of Law & Information  
Co-Director, Berkeley Center for Law & Technology  
University of California, Berkeley, United States

**Martin Senftleben**

Professor of Intellectual Property  
Director, Kooijmans Institute for Law and Governance  
Vrije Universiteit Amsterdam, Netherlands



**Daniel Seng**

Associate Professor

Director, LLM Program in Intellectual Property and Technology Law

Faculty of Law, National University of Singapore, Singapore

**Chung-Lun Shen**

Professor of Law

College of Law, National Chengchi University, Taiwan

**Alexandra Sims**

Associate Professor

Head, Department of Commercial Law

The University of Auckland Business School, New Zealand

**Anubha Sinha**

Program Officer

Centre for Internet and Society, India

**Paul Sumpter**

Senior Lecturer

Auckland Law School, The University of Auckland, New Zealand

**Madhavi Sunder**

Senior Associate Dean for Academic Affairs

Martin Luther King, Jr. Professor of Law

University of California, Davis, School of Law, United States

**Uma Suthersanen**

Chair in International Intellectual Property Law

Centre for Commercial Law Studies, Queen Mary University of London, United Kingdom

**David Tan**

Vice Dean, Dean's Chair and Associate Professor

Faculty of Law, National University of Singapore, Singapore

**Tatsuhiko Ueno**

Professor of Law

Faculty of Law, Waseda University, Japan

**Kimberlee Weatherall**

Professor of Law

The University of Sydney Law School, Australia

**Rolf H. Weber**

Professor of Law Emeritus

Faculty of Law, University of Zurich, Switzerland

**Ming Yang**

Professor of Intellectual Property Law

Peking University Law School, China

**Simon Young**

Professor and Associate Dean (Research)

Faculty of Law, The University of Hong Kong, Hong Kong

**Peter K. Yu**  
Professor of Law  
Co-Director, Center for Law and Intellectual Property  
Texas A&M University School of Law, United States